

Internal Audit Plan - April 2023 to September 2023	QTR	No of days	Detailed Rationale	Links to Risk Registers (strategic & operational) / Corporate Plan Projects / Business plans / Annual Governance Statement (AGS)
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CORPORATE AREAS				
Annual Governance Statement	1	15	To support the Annual Governance Statement	AGS
Anti-Fraud & Corruption	ALL	1	Review and update of probity policies	AGS
NFI	1&2	2.5	Review / Investigation of 2022 matches	AGS
CUSTOMER & DIGITAL				
Customer Services				
Revenues and Benefit project support	1&2	2.5	Proactive support to assist with the aligning of processes.	Business plans / transformation
Council Tax	2	5	Review of new shared policies	Operational risk
Debtors	1	5	In depth review of the aged debt process	Operational risk
CAPITA migration	1	10	Review of system implementation	Operational risk
ICT				
Review - TBD	2	15	Reviews to be determined with SCC	
Streetscene				
Cemetery Management	2	15	To review the management of operational risks	Operational risk
PLANNING & DEVELOPMENT				
Building Control	1	15	To review the management of operational risks	Operational risk
COMMERCIAL & PROPERTY				
Commercial Directorate Development Group	1&2	2.5	Pro-active support	Operational risk registers

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COMMUNITIES				
Large Commissioning	2	10	Value for Money review	Corporate Plan project
CHANGE AND DELIVERY				
Performance Management / Data Quality	1	10	Review of PIs based on risk profile.	AGS / Corporate Plan
Driver checks	2	5	Review to ascertain level of compliance with insurers expectations	Operational risk
GOVERNANCE				
General Data Protection Regulations	2	10	Risk based review. In depth review on aspect of legislative requirements	AGS / operational risk
GENERAL AREAS				
Post Audit Reviews	ALL	5	Follow up of agreed actions	To ensure actions are implemented as intended
Contingency / Irregularities	ALL	2.5	Unplanned reviews / contingency	To support requests for unplanned work
PSIAS – Peer Review	ALL	2.5	Peer Review process	To assist with the review of compliance with PSIAS
Residual Work from 2022-2023	1	10	Finalise 22/23 reviews	
Committee Reporting / Effectiveness Review	All	5	Preparation of reports and attendance at Governance Committee	
TOTALS		148.5		